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advocates

**CPD training
programme for the
insurance industry**

Insurance

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Ganado Advocates is organising a training programme that considers the requirements emanating from Chapter 7: Continuous Professional Development (the “Chapter”) whereby a relevant person and a relevant employee, as defined in the Chapter, are required to undertake as a minimum fifteen (15) hours of continuous professional development (“CPD”) training in each calendar year, which can take the form of structured CPD training or unstructured CPD training. As a minimum, such CPD training shall include twelve (12) hours of structured CPD training.

The training programme includes eight (8) sessions covering a number of topics as indicated in Section 6.8 to 6.10 of Chapter 6 in Part B of the Insurance Distribution Rules and which qualify as structured CPD training. A defined number of hours are allocated to each session and a certificate of attendance will be provided to each attendee indicating the number of hours of the session and the topic/s and areas covered.

The training programme offers attendees the possibility to choose between session 1a and 1b for insurers and intermediaries and session 2 on Anti-Money Laundering is optional as it would not apply for all the sector.

Sessions

Session 1a - An overview of the European and Maltese regulatory framework – Insurance Undertakings

Thursday 27th May 2021 > 1100 – 1230 hrs

Speakers: [Tanya Causon](#) / [Beppe Sammut](#)

General overview of the legal framework and key licensing requirements of an insurer:

- (a) Financial requirements
- (b) Governance requirements
- (c) Reporting and disclosure requirements

Session 1b - An overview of the European and Maltese regulatory framework – Insurance Intermediaries

Thursday 3rd June 2021 > 1100 – 1230 hrs

Speakers: Beppe Sammut / [Emma Cassar Torreggiani](#)

General overview of the legal framework and key licensing requirements of:

- (a) An insurance agent/broker
- (b) An insurance manager
- (c) A TII/All
- (d) An introducer

Session 2 – Applicable legislation, regulations and rules affecting re/insurance distribution activities - AMLFT

Tuesday 22nd June 2021 > 1100 – 1230 hrs

Speakers: [Elaine Magri](#)

General overview of the anti-money laundering & the FIAU implementing procedures.

Session 3 - An overview of the European and Maltese regulatory framework – Insurance Intermediaries

Tuesday 6th July 2021 > 1100 – 1300 hrs

Speakers: [Julian Boffa](#) / [Luke Hili](#)

- Information disclosure requirements
- The Insurance Product Information Document (“IPID”)
- A Key Information Document (“KID”) for packaged retail and insurance-based investment products (PRIIPs)
- Disclosure medium
- Complaints handling

Session 4 – The Sales Process – Assessment of Client Needs

Tuesday 20th July 2021 > 1100 – 1300 hrs

Speakers: Tanya Causon / Elaine Magri

- Advice and non-advice
- Demands and needs
- Sustainability and appropriateness of the product
- Conflicts of interest

Session 5 – Product oversight & Governance

Tuesday 3rd August 2021 > 1100 – 1230 hrs

Speakers: [Nadia Cassar](#)

General overview of the:

- Product oversight and governance requirements for manufacturers
- Product oversight and governance requirements for distributors

Session 6 – Applicable legislation, regulations and rules affecting re/insurance distribution activities – ICT

Tuesday 24th August 2021 > 1100 – 1230 hrs

Speakers: Julian Boffa / Beppe Sammut

General overview of the MFSA guidance on ICT and Security risk management and outsourcing arrangements.

Session 7 – Related Legislation (part 1)

Tuesday 7th September 2021 > 1100 – 1230 hrs

Speakers: Tanya Causon / Nadia Cassar

General overview of the:

- Protection and Compensation Fund Regulations (S.L. 403.13)
- Distance Selling (Retail Financial Services) Regulations (S.L. 330.07)
- Duty on Documents and Transfers Act (Cap. 364)

Session 8 – Related Legislation (part 2)

Tuesday 22nd September 2021 > 1100 – 1300 hrs

Speakers: Luke Hili / [Matthew Brincat](#)

General overview of the:

- Function of the Office of the Arbiter of Financial Services
- Relevant social and employment law (including the organisation and benefits guaranteed by the pension system in Malta)
- The General Data Protection Regulation (Regulation (EU) 2016/679)

Course options

Applications can choose to attend either session 1a or 1b.

Session 2 (AML) is optional.

A minimum attendance of 4 sessions is required.

Pricing

All (8) sessions | €480 per person *

All (7) Sessions excl. AML (2) | €420 per person

4 Sessions of choice | €280 per person

**If 3 or more persons from the same company apply, a rate of €50 per person per session would apply*

Registration closes on the 18th June 2021



For further information about registration:

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